



GNY-1537

PATENT

Case Docket No. GNE 2800R1C12  
Date: April 22, 2003RECEIVED  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Botstein, et al. ) I hereby certify that this correspondence and all  
Appl. No. : 10/033,246 ) marked attachments are being deposited with the  
Filed : December 27, 2001 ) United States Postal Service as first class mail in  
For : SECRETED AND ) an envelope addressed to: United States Patent  
TRANSMEMBRANE ) and Trademark Office, P.O. Box 2327,  
POLYPEPTIDES AND ) Arlington, VA 22202, on  
NUCLEIC ACIDS ENCODING )  
THE SAME ) April 9, 2003  
(Date)  
Examiner : Unknown )  
Group Art Unit : 1637 )  
Sam K. Tahmassebi, Reg. No. 45,151

## TRANSMITTAL LETTER

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with fourteen (14) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 07-0630.
- (X) Return prepaid postcard.

*See Postcard*  
Sam K. Tahmassebi  
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GNE.2930R1C12



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant	:	Botstein, et al.	) Group Art Unit 1637
App. No.	:	10/033,246	)
Filed	:	December 27, 2001	)
For	:	SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME	)
Examiner	:	Unknown	)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

Appl. No. : 10/033,246  
Filed : December 27, 2001

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4/9/03

By: Sam K. Tahmassebi  
Sam K. Tahmassebi  
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. ONE.2930R1C12	APPLICATION NO. 10/033,246
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Bostein, et al.	RECEIVED APR 22 2003 USPTO 1600/2900
		FILING DATE December 27, 2001	GROUP 1637



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
1	5,831,058	11/03/98	Fujiwara et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
2	WO 98 45437	10/15/98	PCT				
3	WO 00/04140	01/27/00	PCT				
4	WO 00 58472	10/05/00	PCT				
5	WO 01/29221	04/26/01	PCT				
6	WO 01 02568	01/11/01	PCT				

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
7	Database EMBL [Online] Entry HSA24389, 08/14/96, Hillier, L. et al., "ze74b01.r1 Soares_fetal_heart_NbHH19W Homo sapiens cDNA clone IMAGE: 364681 5', mRNA sequence." Database accession no. AA024389 XP002230500
8	Database EMBL [Online] Entry HSA25266, 08/14/96, Hillier, L. et al. "ze74b01.s1 Soares_fetal_heart_NbHH19W Homo sapiens cDNA clone IMAGE:364681 3', mRNA sequence." Database accession no. AA025266 XP002230501
9	Database EMBL [Online] md78c02.r1 Soares mouse embryo NbME1cDNA clone (06/22/96) Marra et al., "The WashU-HHMI Mouse EST Project" Database accession no. MM96732 XP002232923
10	Database EBI [Online] (08/19/98) Strausberg R. "qa12e07.x1 NCI_CGAP_Bm23 Homo sapiens cDNA clone Image:1686564 3' similar to contains TAR1.b2 MSR1 repetitive élément;, mRNA sequence." Database accession no. AI088845 XP002226252
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13	Klein, et al. Selection for genes encoding secreted proteins and receptors, Proc. Natl. Acad. Sci. USA 93:7108-7113 (1996)
14	Tashiro, et al., Signal sequence trap: a cloning strategy for secreted proteins and type 1 membrane proteins, Science 261:600-603 (1993)

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EXAMINER	DATE CONSIDERED
"EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT."	